

Compliance Services for Members

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Voice, Strength &
Representation


*Promoting, Supporting & Representing
Irish Insurance & Financial Brokers*



Compliance & Legislation

ONE OF THE MAJOR CHALLENGES BROKERS CURRENTLY FACE IS THE RAPIDLY CHANGING VOLUME OF LEGISLATIVE AND REGULATORY REQUIREMENTS TO WHICH THEY MUST COMPLY. BROKERS IRELAND AIMS TO HELP MEMBERS AND THEIR STAFF TO PRACTICALLY COMPLY WITH ALL LEGISLATIVE AND COMPLIANCE OBLIGATIONS BY PROVIDING SUPPORT AND GUIDANCE IN RELATION TO VARIOUS REQUIREMENTS.

Services that the Compliance Unit provides:

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Providing support via the Brokers Ireland website, which includes a practical and comprehensive Procedures Manual that assists members to comply with legislative and regulatory requirements, GDPR Procedures, AML Guidance, Guidance on IT and Cyber Security and Central Bank requirements e.g. Fitness and Probity and Minimum Competency Code.
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Assistance for members in dealing with complaints and guidance in relation to the Financial Services and Pensions Ombudsman process
Keeping members up to date on new legislation and regulatory requirements
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Individual compliance support for members on specific queries
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Liaison with BIPAR on ongoing legislative and regulatory issues and on issues coming down the line that are industry specific and will have an impact on members
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Audit Services to Members – Members of Brokers Ireland have access to a 25% discount to a compliance onsite audit service
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Review Facility, i.e. members' Terms of Business and other relevant compliance documents and websites
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Assistance with Central Bank authorisation process
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Keeping members up to date on new legislation and regulatory requirements
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Liaison with the Central Bank of Ireland on behalf of members' on an anonymous and confidential basis
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Representation and lobbying on behalf of members including responding to industry Consultation Papers

Compliance Unit Staff - We're Here To Help

Members can refer compliance queries to compliance@brokersireland.ie



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